



EXTRAORDINARY

OFFICIAL GAZETTE

THE BAHAMAS

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NASSAU

26th May, 2020

**SECURITIES INDUSTRY (FEES)(AMENDMENT)
RULES, 2020**

Arrangement of Rules

Rule

1. Citation.....	2
2. Amendment to Schedule of S.I. No. 11 of 2012	2



MINISTRY OF FINANCE

S.I. No. 77 of 2020

SECURITIES INDUSTRY ACT, 2011

(NO. 10 OF 2011)

SECURITIES INDUSTRY (FEES)(AMENDMENT) RULES, 2020

The Securities Commission, in exercise of the powers conferred by section 22 of the Securities Industry Act, 2011 makes the following Rules —

1. Citation.

These Rules may be cited as the Securities Industry (Fees)(Amendment) Rules, 2020.

2. Amendment to Schedule of S. I. No. 11 of 2012.

The Schedule to the Securities Industry (Fees) Rules is amended —

- (a) in Table B, by the insertion immediately after row (e) of the following —

“(f) Persons seeking to register to deal in Contracts for Differences	\$2,500.00	\$15,000.00	\$30,000.00
			Except where exempted or reduced by the Commission, a registered CFD firm shall in addition to paying the annual renewal fee prescribed, pay a quarterly activity fee of \$45,000.00”;

(b) in Table C, by the insertion immediately after row (e) of the following —

“(f) CFD Supervisory Officer	\$1,000.00	\$3,000.00	\$5,000.00”.
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Made this 25th day of May, 2020.

**Signed
Chairman
Securities Commission of The Bahamas**