



*EXTRAORDINARY*  
**OFFICIAL GAZETTE**  
**THE BAHAMAS**  
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28<sup>th</sup> March, 2012

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**SECURITIES INDUSTRY (AMENDMENT) REGULATIONS, 2012**

**Arrangement of Sections**

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MINISTRY OF FINANCE



S.I. No. 50 of 2012

SECURITIES INDUSTRY ACT  
(NO. 10 OF 2011)

SECURITIES INDUSTRY (AMENDMENT) REGULATIONS, 2012

The Minister after consultation with the Commission, in exercise of the powers conferred by section 148 of the Securities Industry Act, 2011 makes the following regulations —

1. **Citation.**

These regulations, which amend the Securities Industry Regulations, 2011<sup>1</sup>, may be cited as the Securities Industry (Amendment) Regulations, 2012.

2. **Insertion of regulation 10A into the principal Regulations.**

The principal Regulations are amended by the insertion immediately after regulation 10 of a new regulation as follows —

“10A. **Confidentiality.**

The duty not to disclose information pursuant to section 28 of the Act —

- (a) applies to persons both before and after they have terminated their employment or engagement with the Commission; and
- (b) includes the duty to maintain the confidentiality of any information received from a foreign regulator”.

Made this 22<sup>nd</sup> day of March 2012.

Signed

HUBERT A. INGRAHAM  
Minister responsible for Finance

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<sup>1</sup>(S. I. No. 1 of 2012)